

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
of Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
		•		Application Number	09/938,121	
11	<b>NFORMATION</b>	I DI	SCLOSURE	Filing Date	August 23, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Yoshiyasu Kubota	
				Art Unit	2116	
(Use as many sheets as necessary)				Examiner Name	P.B. Yanchus	
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-204	

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Theme or t attinge of	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Number-Kind Code <sup>4</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
171	AA	US-5,483,656	01-09-1996	Oprescu et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patient Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP.901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patient documents, the indication of the year of the reign of the Emperor must precede the serial number of the patient document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

557271\_1.DOC

	$\mathcal{D}_{\mathcal{A}}$			
Examiner Signature	for B	724	Date Considered	7/21/0(
	7	1		

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.